

SEP 11 2018

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LEGISLATIVE RESOURCE CENTER

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U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

A \$200 penalty shall be assessed against any individual who files more than 30 days late.

UNITED STATES HOUSE OF REPRESENTATIVES FINANCIAL DISCLOSURE STATEMENT		FORM B For New Members, Candidates, and New Employees	
Name: <u>SYLVIA R GARCIA</u>		Daytime Telephone: _____	
FILER STATUS <input checked="" type="checkbox"/> New Member of or Candidate for U.S. House of Representatives <input type="checkbox"/> Candidates - Date of Election: <u>11-06-2018</u>	State: <u>TX</u> District: <u>29</u>	<input type="checkbox"/> Check if Amendment	
	New Officer or Employee Employing Office: _____ Staff Filer Type (if Applicable): <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant		
Period Covered: January 1, 2017 to September 1, 2018			

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? <u>or</u> b. Receive more than \$200 in unearned income from any reportable asset during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	J. Did you receive compensation of more than \$5,000 from a single source in the current year and two prior years? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>

ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"
THIS FORM INCLUDES ONLY THE SCHEDULES THAT YOU ARE REQUIRED TO COMPLETE

EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER BOTH OF THESE QUESTIONS

TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	EXEMPTION - Have you excluded from this report any other assets, "unearned" income, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
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SCHEDULE A -- ASSETS & "UNEARNED INCOME"

Name: SYLVIA R. GARCIA

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BLOCK A		BLOCK B													BLOCK C							BLOCK D																								
Assets and/or Income Sources		Value of Asset													Type of Income							Amount of Income																								
ASSET NAME	EIF	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	Current Year												Preceding Year											
																							I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII
None																																														
\$1-\$1,000																																														
\$1,001-\$15,000																																														
\$15,001-\$50,000																																														
\$50,001-\$100,000																																														
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\$5,000,001-\$25,000,000																																														
\$25,000,001-\$50,000,000																																														
Over \$50,000,000																																														
Spouse/DC Asset over \$1,000,000*																																														
American Century Equity Income	X																				X																									
American Funds Inc Fund of Amer R4	X																				X																									
AMG Managers Fairpointe Mid Cap N	X																				X																									
Barron Growth Retail	X																				X																									
BlackRock EAFE Equity Index Coll F	X																				X																									
BlackRock Equity Index - Collective F	X																				X																									
BlackRock Russell 2000 Index Coll F	X																				X																									
Columbia Small Cap Value Fund II Z	X																				X																									
Federated Government Income Trust IS	X																				X																									
Fidelity Contrafund	X																				X																									
Goldman Sachs Mid Cap Value A	X																				X																									
Houston Fixed Fund	X																				X																									
INVESCO Diversified Dividend RS	X																				X																									
JPMorgan Disciplined Equity L	X																				X																									
Nuveen International Growth I	X																				X																									

Use additional sheets if more space is required.

SCHEDULE C – EARNED INCOME

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List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. government) totaling \$200 or more during the reporting period. For both the filer and filer's spouse, list the source and amount of any honoraria. List only the source for other spouse earned income exceeding \$1,000. See examples below.

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: Be advised that the income limit and prohibited income may apply to you after you are on House payroll. The 2017 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$27,765. The 2018 limit is \$28,050. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited for Members and senior staff.

Source (include date of receipt for honoraria)	Type	Amount	
		Current Year to Filing	Preceding Year
Examples:	ABC Trade Association, Baltimore, MD (July 15)	\$0	\$500
	State of Maryland	\$20,000	\$76,000
	Civil War Roundtable (Oct. 2)	\$0	\$1,000
	Ontario County Board of Education	N/A	N/A
City of Houston Pension	Pension	\$ 41,144.92	\$ 60,840.00
Harris County Pension	Pension	\$ 22,226.00	\$ 30,981.00
Texas State Senate	Salary	\$ 5,400.00	\$ 6,640.84

SCHEDULE D – LIABILITIES

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Report liabilities of over \$10,000 owed to any one creditor *at any time* during the reporting period by you, your spouse, or your dependent child. **Mark the highest amount owed during the reporting period.** **New Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a *revolving charge account* (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

	SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
					A	B	C	D	E	F	G	H	I	J	K
					\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC)
		NONE													

SCHEDULE E – POSITIONS

Report all positions, compensated or uncompensated, as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

New Members and second-year candidates report positions held in the reporting period and the current calendar year.

First-year candidates and new employees report positions held in the current calendar year and two previous years.

Position	Name of Organization
SEE NEXT PAGE	

SCHEDULE E – POSITIONS**SYLVIA R GARCIA****PAGE 6 OF 7**

Position	Name of Organization
Committee Member	Texas Senate Intergovernmental Relations Committee
Committee Member	Texas Senate Natural Resources & Economic Development Committee
Committee Member	Texas Senate Transportation Committee
Committee Member	Texas Senate Criminal Justice Committee
Committee Member	Texas Joint Interim Committee to Study a Coastal Barrier System
Committee Member Ex Officio	Texas Department of Transportation Freight Advisory Committee
Committee Member Ex Officio	Transportation Policy Council, Houston - Galveston Area Council
Committee Member	Joint Interim Committee to Study State Judicial Salaries
Committee Member	Governing Body of the Texas Legislative Council (85th interim)

SCHEDULE F - AGREEMENTS

SYLVIA R GARCIA

Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
12/31/2008	City of Houston	Defined Benefit Plan
12/31/2012	Texas County and District Retirement System	Defined Benefit Plan
12/31/2012	Harris County, Texas	Deferred Compensation Plan 457

SCHEDULE J - COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

Report sources of compensation received by you or your business affiliation for services provided directly by you during the current year and two prior years. This includes the names of clients and customers of any corporation, firm, partnership, or other business enterprise if you directly provided the services generating a fee or payment of more than \$5,000. Exclude: Payments by the U.S. government and any information considered confidential as a result of a privileged relationship recognized by law. Do not repeat information listed on Schedule C.

Source (Name and City/State)		Brief Description of Duties
<i>Example:</i> Doe Jones & Smith, Hometown, Homestate		Accounting Services
NONE		